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**Date:** 7/5/2023

To: Board of Visitors

**Subject:** Report of open contracts entered into subject to the Code of Virginia "State and Local Government Conflict of Interests Act" ("the Act") § 2.2-3106 C. 8.

There were four contracts entered into at the time of this report (from 4/1/2023 - 6/30/2023) subject to the Act's exception for prohibited contracts involving research and development or commercialization of intellectual property. Details as per the Act § 2.2-3106 E. are included below.

| Contract  | 1 (of 4)   |
|---|--|
| Open contract number  | P3IDMHWI   |
| Names of parties  | Virginia Tech and Fermi Energy, Inc.   |
| Date contract executed  | 3/16/23  |
| Contract term   | 3/1/23- 2/29/24  |
| Subject of contract   | "Manufacturing Ni- and Co-free cathodes for high-energy and low-<br>cost Li-ion batteries"   |
| Nature of COI   | Feng Lin, Associate Professor in the Department of Chemistry, has an<br>equity interest in Fermi Energy, Inc. exceeding 3% and serves as the<br>Chief Executive Officer for the company. Fermi Energy, Inc. has<br>received an NSF STTR Phase I award that includes a subaward to<br>Virginia Tech. Feng Lin is the Principal Investigator for Virginia Tech.<br>Feng Lin developed lithium-ion battery cathode technology that is<br>owned by Virginia Tech, and Fermi Energy, Inc. intends to license this<br>technology. In the context of sponsored research, this financial<br>interest creates a financial conflict of interest with state law<br>implications. The Research Conflict of Interest Program has<br>implemented a management plan to promote objectivity. |
| Institution employee<br>responsible for<br>administering contract | Trudy Riley, contract administration signatory<br>Director, Virginia Tech Office of Sponsored Programs   |

| The institution's<br>commitment of resources<br>or finances for the contract | N/A                                 |
|--|-------------------------------------|
| Details of how revenues are to be dispersed                                  | N/A (no revenues will be generated) |

| Contract   | 2 (of 4)   |
|--|--|
| Open contract number   | PT2ZP73N   |
| Names of parties   | Virginia Tech and Yamaha Motor Corporation   |
| Date contract executed   | 5/11/23  |
| Contract term  | 2/1/23-2/1/24  |
| Subject of contract  | "Steady and unsteady Panel method for Super-cavitating propellers"   |
| Nature of COI  | Stefano Brizzolara, Professor in the Department of Aerospace and<br>Ocean Engineering, developed computer software at a prior<br>institution that analyzes marine propellers. Stefano Brizzolara licensed<br>this technology to the Yamaha Motor Corporation and will be<br>receiving payments from Yamaha Motor Corporation exceeding<br>\$5,000. Virginia Tech has received an award from the Yamaha Motor<br>Corporation that will develop new intellectual property and follow the<br>university's IP policy. Stefano Brizzolara is the Principal Investigator for<br>Virginia Tech. The nature of this financial interest in the context of<br>sponsored research creates a financial conflict of interest with state<br>law implications. The Research Conflict of Interest Program has<br>implemented a management plan to promote objectivity. |
| Institution employee<br>responsible for<br>administering contract            | Trudy Riley, contract administration signatory<br>Director, Virginia Tech Office of Sponsored Programs   |
| The institution's<br>commitment of resources<br>or finances for the contract | N/A  |
| Details of how revenues are to be dispersed                                  | N/A (no revenues will be generated)  |

| Contract   | 3 (of 4)   |
|--|--|
| Open contract number   | PWDWUKND   |
| Names of parties   | Virginia Tech and Yamaha Motor Corporation   |
| Date contract executed   | 5/11/23  |
| Contract term  | 2/1/23-2/1/24  |
| Subject of contract  | "Propeller Design by Parametric Optimization"  |
| Nature of COI  | Stefano Brizzolara, Professor in the Department of Aerospace and<br>Ocean Engineering, developed computer software at a prior<br>institution that analyzes marine propellers. Stefano Brizzolara licensed<br>this technology to the Yamaha Motor Corporation and will be<br>receiving payments from Yamaha Motor Corporation exceeding<br>\$5,000. Virginia Tech has received an award from the Yamaha Motor<br>Corporation that will develop new intellectual property and follow the<br>university's IP policy. Stefano Brizzolara is the Principal Investigator for<br>Virginia Tech. The nature of this financial interest in the context of<br>sponsored research creates a financial conflict of interest with state<br>law implications. The Research Conflict of Interest Program has<br>implemented a management plan to promote objectivity. |
| Institution employee<br>responsible for<br>administering contract            | Trudy Riley, contract administration signatory<br>Director, Virginia Tech Office of Sponsored Programs   |
| The institution's<br>commitment of resources<br>or finances for the contract | N/A  |
| Details of how revenues are to be dispersed                                  | N/A (no revenues will be generated)  |

| Contract   | 4 (of 4)   |
|--|--|
| Open contract number   | PHRZZ4AQ   |
| Names of parties   | Virginia Tech and ENSCO, Inc.  |
| Date contract executed   | 5/18/23  |
| Contract term  | 4/24/23- 4/9/24  |
| Subject of contract  | "MOSES (FA7022-17-D-0009) TO 0075 Support"   |
| Nature of COI  | John Morris, Associate Dean for Research in the College of Science,<br>has reported consulting for ENSCO, Inc. and has received payments in<br>the past 12 months exceeding \$5,000. The external consulting was<br>performed through Morris Scientific Consulting, LLC, which is owned<br>and operated by John Morris. Virginia Tech has received an award<br>from ENSCO, Inc. and John Morris will be the Principal Investigator.<br>The nature of this financial interest in the context of sponsored<br>research creates a financial conflict of interest with state law<br>implications. The Research Conflict of Interest Program has<br>implemented a management plan to promote objectivity. |
| Institution employee<br>responsible for<br>administering contract            | Trudy Riley, contract administration signatory<br>Director, Virginia Tech Office of Sponsored Programs   |
| The institution's<br>commitment of resources<br>or finances for the contract | N/A  |
| Details of how revenues are to be dispersed                                  | N/A (no revenues will be generated)  |